

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/032,564 TRANSMITTAL Filing Date January 2, 2002 **FORM** (to be used for all correspondence after initial filing) First Named Inventor Yasutoshi OKUNO et al. Group Art Unit 2833 **Examiner Name** M. Estrada Total Number of Pages in This Submission Attorney Docket Number 740819-725 ENCLOSURES (check all that apply) Fee Transmittal Form Assignment Papers ☐ After Allowance Communication to Group (for an Application) Other Fee Attached Drawing(s) Amendment / Reply Declaration and Power of Attorney After Final Licensing-related Papers Petition Affidavits/declaration(s) Petition to Convert to a Provisional Extension of Time Request Application Express Abandonment Request Power of Attorney, Revocation Change of Correspondence Address Information Disclosure Statement Terminal Disclaimer Certified Copy of Priority Request for Refund Document(s) CD, Number of CD(s) Response to Missing Parts/ Remarks Incomplete Application The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the Response to Missing Parts above identified docket number. under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Donald R. Studebaker (Reg. No. 32,815) Nixon Peabody LLP Individual name 8180 Greensboro Drive Suite 800 McLean, VA 22102 Signature August 6, 2002 Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: Type or printed name

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Docket No. 740819-725 #5 / AMO - F	7
TRADEMARK OFFICE Group Art Unit: 2823 Examiner: Michelle ESTRADA RECEIVED	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Yasutoshi OKUNO et al.

Serial No. 10/032,564)

Filed: January 2, 2002

For: METHOD FOR FABRICATING SEMICONDUCTOR DEVICE

AMENDMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Office Action mailed May 8, 2002, Applicants respectfully submit the following amendments and remarks in connection with the above-captioned matter.

IN THE CLAIMS:

Please amend claims 1 and 3 as follows:

1. (Amended) A method for fabricating a semiconductor device including a capacitor device having a lower electrode, a capacitor dielectric film formed on said lower electrode and an upper electrode formed on said capacitor dielectric film, comprising a step of:

forming a conducting film to be formed into said lower electrode including sub-steps of: depositing a lower conducting film on a substrate by sputtering; and depositing an upper conducting film directly on said lower conducting film by CVD.

3. (Amended) A method for fabricating a semiconductor device including a capacitor device having a lower electrode, a capacitor dielectric film formed on said lower electrode and an upper electrode formed on said capacitor dielectric film, comprising a step of:

forming a conducting film to be formed into said upper electrode including sub-steps of: depositing a lower conducting film on said capacitor dielectric film by sputtering; and depositing an upper conducting film directly on said lower conducting film by CVD.

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